



STRATEGY OVERVIEW

**NASDAQ
DORSEY
WRIGHT**

Dorsey Wright Tactical Fixed Income

BONDS & BOND
ALTERNATIVES

Organization Background

Year
Founded: **1987**

Ownership
Structure: **Wholly owned by
NASDAQ**

Total
Assets: **\$10.1 billion***

Dorsey Wright is a registered investment advisor, wholly owned by NASDAQ. With their expertise in technical analysis, Dorsey Wright offers both investment management and research services with the goal of educating, empowering, and supporting their clients.

Dorsey Wright's Tactical Fixed Income strategy seeks to actively rotate exposures across the credit markets and yield curve in response to the market environment.

- The strategy can access multiple fixed income sectors, providing differentiated sources of excess return as well as diversification benefits.
- While the strategy seeks to generate attractive total return and income, capital preservation is emphasized through dedicated exposure to government bonds regardless of the market environment.
- The systematically driven investment process leverages Dorsey Wright's research on relative strength and will benefit from positive market trends.
- While generally favoring US fixed income exposures the strategy can invest up to 15% in emerging market bonds.
- Allocations are implemented through low-cost ETFs that provide broad exposure to each asset class.

*As of 3/31/19

Team Background

Investment Team: **2**

Range of Experience: **6 to 25 years**

Range of Qualifications: **1 CMT**

Key Investment Team Members



John Lewis, CMT
Senior Portfolio Manager

Over 25 years' experience in investment management. Joined Dorsey Wright in 2002, producing several research papers on relative strength investing and implementing this research through different strategies.



Charles Coleman
Associate Portfolio Manager

Over 6 years' experience in investment management. Prior to joining Dorsey Wright, served as a founding employee of Holly Street Wealth Advisors. Joined Dorsey Wright in 2016.

IMPORTANT INFORMATION

This is for informational purposes only, is not a solicitation, and should not be considered investment or tax advice. This report has been drawn from sources believed to be reliable, but its accuracy is not guaranteed, and is subject to change.

Investing involves risk, including the possible loss of principal. Past performance does not guarantee future results. Asset allocation cannot eliminate the risk of fluctuating prices and uncertain returns. There is no guarantee that a diversified portfolio will outperform a non-diversified portfolio. No investment strategy, such as asset allocation, can guarantee a profit or protect against loss. It is not possible to invest directly in an index.

Investments in equities, bonds, options, and other securities, whether held individually or through mutual funds and exchange traded funds, can decline significantly in response to adverse market conditions, company-specific events, changes in exchange rates, and domestic, international, economic, and political developments. Investments in bonds and fixed income related securities involve market and interest rate risk (prices can decline, if interest rates increase), and default risk (an issuer being unable to repay principal and interest). High-yield bonds are generally subject to greater risk of default than investment-grade bonds. Real estate investments are subject to credit and market risks, typically based on changes in interest rates and varied economic conditions. Investing in alternative investments, including managed futures, commodities, and currencies is not appropriate for all persons, as the risk of loss is substantial. Investments in futures involve market, counterparty, leverage, liquidity, interest rate, foreign currency, commodity, volatility, and other risks. Put writing is not a risk-free investment. Risks, such as a decline in the price of the underlying stock can occur, and may offset the gains received by the option premiums collected. Put writing may not be appropriate or suitable for long-term or inexperienced investors.

For more complete information about the various investment solutions available, including the investment objectives, risks and fees, please refer to the Disclosure Brochure and applicable Fund Prospectus. Please read them carefully before investing. For a copy, please contact your Financial Advisor.

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